



# State of North Carolina

OFFICE OF THE COMMISSIONER OF BANKS

BEVERLY E. PERDUE  
GOVERNOR

January 14, 2009

JOSEPH A. SMITH, JR.  
COMMISSIONER OF BANKS

TO: All North Carolina Licensed Mortgage Companies

FROM: North Carolina Commissioner of Banks Office *OF*

RE: Financial Statements in the Nationwide Mortgage Licensing System

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The NC Commissioner of Banks Office (NCCOB) is pleased to announce that on or about March 9, 2009, you will be able to submit financial statements and financial information through the Nationwide Mortgage Licensing System (NMLS). This will eliminate the need for you to mail us your financial statements and allow you electronically, to submit one set of financial statements and financial information to all jurisdictions participating in the NMLS.

*Submissions must still comply with the NC Admin. Rules. Lenders/AMBs are required to submit an audited statement of financial condition. Brokers are required to submit a certified statement of financial condition.*

Submissions must be received by the NCCOB from each Affiliated Mortgage Banker, Mortgage Lender, Servicer, Broker licensee within **90 days of the end of its fiscal year**.

**IF YOUR COMPANY'S FISCAL YEAR ENDED DECEMBER 31, 2008 OR THEREAFTER, PLEASE DO NOT SUBMIT FINANCIAL STATEMENTS IN PAPER FORM TO THE NCCOB.**

Beginning about March 9, 2009, you will be able to log into your company's account on the NMLS and upload your financial statements as a PDF file. This upload will also require you to enter basic financial information and attest to the accuracy of the information and financial statements. You will have until **March 31, 2009** to submit this information for the fiscal year ended December 31, 2008.

More information on this functionality will be posted on the NMLS website at: <http://www.stateregulatoryregistry.org/NMLS//AM/Template.cfm?Section=Home3>. Also, additional information will be forthcoming regarding the online submission of annual report questionnaire information due by **March 31, 2009**, through the NCCOB website.

Please contact Charlie Fields, Jr. at 919-733-4680 or [cfields@nccob.gov](mailto:cfields@nccob.gov) if you have questions.